

October 20, 2022
Mandarin Oriental - New York City



**CFA Society
New York**

ANNUAL DINNER



Evening Program

Sponsor Reception

Networking Reception

Dinner Program

Opening Remarks

Deepika Sharma, CFA

Director, Manager Research Team, Multi-Asset Strategies
& Solutions, BlackRock

Vice Chair - Board of Directors, CFA Society New York

Irving Kahn Lifetime Achievement Award

Introducer

Thomas Brigandi, CFA, Chair – Board of Directors, CFA
Society New York Founder, CFA Society New York Asset
Owner Series

Honoree

Andrew K. Golden, CFA, President, Princeton University
Investment Company ("PRINCO")

Dinner Is Served

Keynote Address

Introducer

Andrew Auslander, CFA, FRM, Treasurer – Board of
Directors, CFA Society New York

Speaker

Saira Malik, CFA, Chief Investment Officer, Nuveen

Closing Remarks, Thank Yous and Well Wishes

David Allen, Institutional Director, Schrodgers
Director-At-Large – Board of Directors, CFA Society New
York

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Menu

FIRST COURSE

Mixed Greens
Feta • Cucumber • Mixed Olives • Tomato • Crispy Shallots
Lemon Oregano Dressing

ENTREE

NY Strip Steak
Sautee Swiss Chard • Braised Cippolini Onions
Confit Fingerling Potatoes • Port Wine Jus

DESSERT

Tiramisu
Mascarpone Mousse • Coffee Cremeux • Espresso Sponge
Pumpkin Roulade
Cinnamon Chantilly Cream • Caramel Sauce • Toasted Pumpkin Seed

Irving Kahn Lifetime Achievement Award

The Irving Kahn Lifetime Achievement Award is presented to a member of the investment profession who has made distinctive contributions to the industry and whose exemplary achievement, excellence of practice, and true leadership have elevated the integrity and competence of the profession. This award was established in 2011 to honor the enduring contributions of Andrew Golden, CFA and President of the Princeton University Investment Company ("Princo") since January 1995.

During his tenure, Princo's investment performance has ranked in the top percentile among institutional investors and Princo's endowment market value has grown from under \$4 billion to over \$37 billion as of June 30, 2021—(after net distributions of over \$17 billion). Today, endowment spending pays for about two-thirds of the University's operating expenses. Mr. Golden joined Princo from Duke Management Company where he was an Investment Director. He was previously a Senior Associate in the Yale Investments Office. Before shifting his focus to investing, "Sparky" Golden (aka "The Commodore") was a professional photographer.

He currently serves on fund advisory boards for several managers, including Bain Capital, General Catalyst Partners, Greylock Partners, and WestBridge Capital. He was a member of the Board of Directors of the NAB Asset Corporation, a publicly-traded commercial loan workout specialist, as well as a founding member of the Investors' Committee of the President's Working Group on Financial Markets. In addition to his work at Princo, Mr. Golden serves as a Trustee of the Princeton Area Community Foundation, the Rita Allen Foundation, and Rutgers Preparatory School. He is on the Board of the Park Agency, a private family office, and serves on the Investment Advisory Committees of the Edmond J. Safra Foundation and the Cambridge University Endowment Fund. Mr. Golden holds a B.A. in Philosophy from Duke University and an M.P.P.M. from the Yale School of Management. He has earned the Chartered Financial Analyst (CFA) designation and is a member of the CFA Society New York.

2022 Interest Group Leaders

Special thanks to all leaders for their energy and service

Alternative Investments

Co-Chair, Anna Sembos, CFA, PhD, Senior Consultant, CIFC Asset Management

Co-Chair, Stephan Connelly, CFA, Director, Star Mountain

Vice Chair, Jonathan Dong, CFA, Associate Research Analyst, Global Credit Research-Tax-able Fixed Income, Lord Abbett

Vice Chair, Patrick Nessenthaler, CFA, CAIA, Vice President, Real Estate Industries, Institutional Markets

Chair Emeritus, Chris Cutler, CFA, Founder, Manager Analysis Services, LLC

Business Management

Chair, Alton Crooks, CFA, Managing Principal, Fidelis Capital LLC

Vice Chair, Tim Frazier, SVP, Director of Sales & Marketing Concertiv

Vice Chair, Christopher Flens-Batina, Relationship Banker, Capital One Bank

Career Development

Chair, Randall Thomas, Founding Partner, Calice Group

Vice Chair, Ira Kaplan, Director, Prosperity Life Insurance Co.

Board Appointed Liaison, Thomas Brigandi, CFA

Financial Reporting and Analysis

Chair, Arthur Fliegelman, CFA, Analyst, U.S. Department of the Treasury

Vice Chair, Jane Soong, CFA, CPA, Executive Director of Finance, Corporate Controller, SCYNEXIS, Inc

Vice Chair, Eric Linder, CFA, Founder, President, SavaNet LLC

Fintech

Chair, Valisha Graves, Executive Director, Morgan Stanley

Vice Chair, Maribeth Martorana, CFA, Strategic Advisor, Ai4 Credit

Vice Chair, Peter Seremitis, CFA, Global Account Manager, Amazon Web Services

Global Investing

Co-Chair, Martin Pomp, CFA, President, Sutton Capital International, LLC

Co-Chair, David Allen, CFA, Institutional Director, Schroders

Chair Emeritus, Thomas Brigandi, CFA,

Institutional Asset Management

Chair, Leo Schmidt, CFA, Chief Investment Officer, River Eddy Capital Management

Investment Strategy Roundtable

Chair, Richard V. Rothenberg, Executive Director, Global A.I. Corporation

Vice Chair, Igor Axenov, CFA

Vice Chair, Vincent Leung, CFA, VP, Credit Suisse

Membership Committee

Chair, David Motsonelidze, CFA, Vice President, Global Macro Investment Strategist, Stifel Vice Chair, Ron Dadina, CFA, Director, MUFG

New Jersey

Chair, Jodie Gunzberg, CFA, Managing Director, Chief Investment Strategist, Graystone Consulting, Morgan Stanley

Vice Chair, Gino Reina, CFA, Portfolio Manager, SECOR

Performance and Risk Analytics

Co-Chair, John Matsikas, CFA, CIPM, SVP, Investment Performance, Voya Investment Management

Co-Chair, Richard Ottoo, PhD, CFA, FRM, VP, Certifications and Educational Programs Group/FRM Program, Global Association of Risk Professionals

Private Wealth Management

Chair, Michael Reuben, CFA, CAIA, CFP, Financial Advisor, Wells Fargo Advisors, LLC

Vice Chair, Lorraine Monick, CFP, CFA, CAIA, Managing Director, Multi-Family Office & Platnum Group Silicon Valley Bank

Vice Chair, Marc Ordone, CFA, CMT, Investment Management, Lido Advisors LLC

Quantitative Investing

Chair, Richard V. Rothenberg, Executive Director, Global A.I. Corporation

Vice Chair, Peg DiOrio, CFA, SVP, Global Equities, Voya Investment Management

Sustainable Investing

Co-Chair, Adam Phillips, Adjunct Professor, New York University

Co-Chair, Henrik Jeppesen, CFA, Head of Investor Outreach for North America, Carbon Tracker

Vice Chair, Emily Thomas, CFA, Lead ESG Analyst, Morgan Stanley

Vice Chair, Kuni Chen, CFA, Head of ESG Integration, Seeds Investor

Value Investing

Co-Chair, Andrew Auslander, CFA, FRM, Managing Principal, Agile Financial LLC

Co-Chair, Mathias Strohfeltdt, CFA, Senior Research Analyst, Invesco US

Vice Chair, Michael Chang, CFA, Senior Analyst, Sycal Advisors

Vice Chair, Alistair duPont, CFA, Investment Banking Associate, Avendus Capital

Veterans Roundtable

Chair, Won Palisoul, CFA, Special Assistant to the Under Secretary of Defense for Personnel and Readiness, Office of the Secretary of Defense

Vice Chair, Matthew Noll, CFA, Founder, Ion Capital Advisors

Veterans Consultant, Airforce, Anthony J. Cipriano, D.M.D, President, Iron Gate Chapter, Air Force Association

Young Leaders

Co-Chair, Maggie Wong, CFA, Senior Product Manager, Silicon Valley Bank

Co-Chair, Caroline Busby, CFA, Institutional iShares FI ETF Strategist, BlackRock

Vice Chair, Alex Schumacher, CFA, Manager of Finance and Regulation, Rally

Vice Chair, Rohan Parikh, CFA, FRM, Director, Emerging Market Trading, Natixis CIB

Vice Chair, Tiffany Li, FX and Rates Trading Support Associate, Credit Agricole

Vice Chair, Danielle McKenna, CFA, Analyst, Apis Capital Advisors

Vice Chair, Rodrigo Cortez, CFA, Investment Banking Professional

Chair Emeritus, Atit Amin, CFA, Associate, Pivot Investment Partners