

October 20, 2022
Mandarin Oriental - New York City



**CFA Society
New York**

ANNUAL DINNER



Evening Program

Sponsor Reception

Networking Reception

Dinner Program

Opening Remarks

Deepika Sharma, CFA

Director, Manager Research Team, Multi-Asset Strategies
& Solutions, BlackRock

Vice Chair - Board of Directors, CFA Society New York

Irving Kahn Lifetime Achievement Award

Introducer

Thomas Brigandi, CFA, Chair – Board of Directors, CFA
Society New York Founder, CFA Society New York Asset
Owner Series

Honoree

Andrew K. Golden, CFA, President, Princeton University
Investment Company ("PRINCO")

Dinner Is Served

Keynote Address

Introducer

Andrew Auslander, CFA, FRM, Treasurer – Board of
Directors, CFA Society New York

Speaker

Saira Malik, CFA, Chief Investment Officer, Nuveen

Closing Remarks, Thank Yous and Well Wishes

David Allen, Institutional Director, Schroders

Director-At-Large – Board of Directors, CFA Society New
York

CFA Society New York Thanks the Following Sponsors:

GOLD

Yieldstreet
Private market investing

SILVER

FORDHAM | Gabelli Center for Global Security Analysis

KB | KAHN BROTHERS GROUP

 MACQUARIE

nuveen
A TIAA Company

BRONZE

 Allspring
Global
Investments

 PGIM FIXED INCOME

 FRANKLIN TEMPLETON INVESTMENTS

 SOCIETE GENERALE

 COLUMBIA
THREADNEEDLE
INVESTMENTS

T.RowePrice 

 Manulife Investment Management

 PGIM
QUANTITATIVE SOLUTIONS

 Ninety
One

 UBS

SUPPORTING

 CAPITAL GROUP | AMERICAN FUNDS*

Menu

FIRST COURSE

Mixed Greens
Feta • Cucumber • Mixed Olives • Tomato • Crispy Shallots
Lemon Oregano Dressing

ENTREE

NY Strip Steak
Sautee Swiss Chard • Braised Cippolini Onions
Confit Fingerling Potatoes • Port Wine Jus

DESSERT

Tiramisu
Mascarpone Mousse • Coffee Cremeux • Espresso Sponge
Pumkin Roulade
Cinnamon Chantilly Cream • Caramel Sauce • Toasted Pumpkin Seed

Irving Kahn Lifetime Achievement Award

The Irving Kahn Lifetime Achievement Award is presented to a member of the investment profession who has made distinctive contributions to the industry and whose exemplary achievement, excellence of practice, and true leadership have elevated the integrity and competence of the profession. This award was established in 2011 to honor the enduring contributions of Irving Kahn, one of CFA Society New York's founders and the first recipient of the award.

After earning a degree from Yale Law School, John spent 13 years at Loeb, Rhoades & Company. Over his tenure, he rose to partner and Director of Research. Loeb, Rhoades & Co. was ultimately acquired by American Express.

John later left Loeb, Rhoades & Co to join hedge fund titan—and fellow Loeb, Rhoades & Co. alumnus—Michael Steinhardt at Steinhardt Partners, where he was named partner.

John later left Steinhardt to establish John A. Levin & Co., Inc. In 1997, Levin & Co. was acquired by Baker, Fentress & Company. John remained as Chairman and CEO until September 2005. In January 2006, John launched his current company, Levin Capital Strategies, LP which manages assets for institutional and private investors. We are so pleased to honor John's contributions to the industry, the value he has created for investors, and in particular the role he has played as a mentor for industry professionals.

2022 Interest Group Leaders

Special thanks to all leaders for their energy and service

Alternative Investments

Co-Chair, Anna Sembos, CFA, PhD, Senior Consultant, CIFC Asset Management

Co-Chair, Stephan Connelly, CFA, Director, Star Mountain

Vice Chair, Jonathan Dong, CFA, Associate Research Analyst, Global Credit Research-Tax-able Fixed Income, Lord Abbett

Vice Chair, Patrick Nessenthaler, CFA, CAIA, Vice President, Real Estate Industries, Institutional Markets

Chair Emeritus, Chris Cutler, CFA, Founder, Manager Analysis Services, LLC

Business Management

Chair, Alton Crooks, CFA, Managing Principal, Fidelis Capital LLC

Vice Chair, Tim Frazier, SVP, Director of Sales & Marketing Concertiv

Vice Chair, Christopher Flens-Batina, Relationship Banker, Capital One Bank

Career Development

Chair, Randall Thomas, Founding Partner, Calice Group

Vice Chair, Ira Kaplan, Director, Prosperity Life Insurance Co.

Board Appointed Liaison, Thomas Brigandi, CFA

Financial Reporting and Analysis

Chair, Arthur Fliegelman, CFA, Analyst, U.S. Department of the Treasury

Vice Chair, Jane Soong, CFA, CPA, Executive Director of Finance, Corporate Controller, SCYNEXIS, Inc

Vice Chair, Eric Linder, CFA, Founder, President, SavaNet LLC

Fintech

Chair, Valisha Graves, Executive Director, Morgan Stanley

Vice Chair, Maribeth Martorana, CFA, Strategic Advisor, Ai4 Credit

Vice Chair, Peter Seremitis, CFA, Global Account Manager, Amazon Web Services

Global Investing

Co-Chair, Martin Pomp, CFA, President, Sutton Capital International, LLC

Co-Chair, David Allen, CFA, Institutional Director, Schroders

Chair Emeritus, Thomas Brigandi, CFA,

Institutional Asset Management

Chair, Leo Schmidt, CFA, Chief Investment Officer, River Eddy Capital Management

Investment Strategy Roundtable

Chair, Richard V. Rothenberg, Executive Director, Global A.I. Corporation

Vice Chair, Igor Axenov, CFA

Vice Chair, Vincent Leung, CFA, VP, Credit Suisse

Membership Committee

Chair, David Motsonelidze, CFA, Vice President, Global Macro Investment Strategist, Stifel Vice Chair, Ron Dadina, CFA, Director, MUFG

New Jersey

Chair, Jodie Gunzberg, CFA, Managing Director, Chief Investment Strategist, Graystone Consulting, Morgan Stanley

Vice Chair, Gino Reina, CFA, Portfolio Manager, SECOR

Performance and Risk Analytics

Co-Chair, John Matsikas, CFA, CIPM, SVP, Investment Performance, Voya Investment Management

Co-Chair, Richard Ottoo, PhD, CFA, FRM, VP, Certifications and Educational Programs Group/FRM Program, Global Association of Risk Professionals

Private Wealth Management

Chair, Michael Reuben, CFA, CAIA, CFP, Financial Advisor, Wells Fargo Advisors, LLC

Vice Chair, Lorraine Monick, CFP, CFA, CAIA, Managing Director, Multi-Family Office & Platnum Group Silicon Valley Bank

Vice Chair, Marc Ordone, CFA, CMT, Investment Management, Lido Advisors LLC

Quantitative Investing

Chair, Richard V. Rothenberg, Executive Director, Global A.I. Corporation

Vice Chair, Peg DiOrio, CFA, SVP, Global Equities, Voya Investment Management

Sustainable Investing

Co-Chair, Adam Phillips, Adjunct Professor, New York University

Co-Chair, Henrik Jeppesen, CFA, Head of Investor Outreach for North America, Carbon Tracker

Vice Chair, Emily Thomas, CFA, Lead ESG Analyst, Morgan Stanley

Vice Chair, Kuni Chen, CFA, Head of ESG Integration, Seeds Investor

Value Investing

Co-Chair, Andrew Auslander, CFA, FRM, Managing Principal, Agile Financial LLC

Co-Chair, Mathias Strohfeltdt, CFA, Senior Research Analyst, Invesco US

Vice Chair, Michael Chang, CFA, Senior Analyst, Sycal Advisors

Vice Chair, Alistair duPont, CFA, Investment Banking Associate, Avendus Capital

Veterans Roundtable

Chair, Won Palisoul, CFA, Special Assistant to the Under Secretary of Defense for Personnel and Readiness, Office of the Secretary of Defense

Vice Chair, Matthew Noll, CFA, Founder, Ion Capital Advisors

Veterans Consultant, Airforce, Anthony J. Cipriano, D.M.D, President, Iron Gate Chapter, Air Force Association

Young Leaders

Co-Chair, Maggie Wong, CFA, Senior Product Manager, Silicon Valley Bank

Co-Chair, Caroline Busby, CFA, Institutional iShares FI ETF Strategist, BlackRock

Vice Chair, Alex Schumacher, CFA, Manager of Finance and Regulation, Rally

Vice Chair, Rohan Parikh, CFA, FRM, Director, Emerging Market Trading, Natixis CIB

Vice Chair, Tiffany Li, FX and Rates Trading Support Associate, Credit Agricole

Vice Chair, Danielle McKenna, CFA, Analyst, Apis Capital Advisors

Vice Chair, Rodrigo Cortez, CFA, Investment Banking Professional

Chair Emeritus, Atit Amin, CFA, Associate, Pivot Investment Partners