

Anastasia Rotheroe-Ballot Statement

Dear Members of the CFA Society of New York,

It is with great interest that I am seeking a nomination to the Board as a Director-at-Large.

Currently, I am the Principal Investment Officer for Public Equities and the Director of Corporate Governance for the Connecticut Retirement Plans and Trust Funds (CRPTF). Prior to this, I worked for over 20 years as a global equity portfolio manager and an asset allocator with the United Nations Joint Staff Pension Fund (UNJSPF). I have decades of institutional investment experience combined with corporate governance and ESG/sustainable investing broadly across all asset classes.

Last year, I received recognition from Pension and Investments with an Influential Woman in Institutional Investing award <https://www.pionline.com/awards/influential-women-in-investing/2025/>

My biography highlights some of my achievements and my passion for the investment industry <https://www.pionline.com/awards/influential-women-in-investing/iwii-pi-anastasia-rotheroe-connecticut-treasuer/#>

As a trusted professional, I have served as an ambassador for many organizations in the investment industry, using my deep senior industry connections across pension funds, endowments, sell-side, buy-side, to further the goals and missions of the organizations with which I am associated. My decades of experience, and as a result my network, is broad across U.S. and international investment professionals. I have the ability to offer collaboration and partnerships with leading industry firms and other organizations relevant to the mission of the CFA Society of New York.

Recently, I participated in an event at the CFA Society of New York as a panel member on, “The Resurgence of International Markets: Is It Different This Time?”

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I enjoyed the active discussion, collaboration, and engagement with the members, and immediately wanted to become more involved with the CFA Society of New York. Currently, I am serving as an industry mentor for the CFA Institute Research Investment Challenge, and this is in addition to my mentoring for Girls Who Invest (GWI), 100 Women in Finance, and the Fordham Gabelli School of Business. I am dedicated to raising awareness and increasing the participation

of young professionals in the investment industry, as well as offering guidance to mid-career and post-career investment professionals.

Through all of these efforts: my role as an institutional investor, participation in corporate governance associations, combined with mentoring, I exhibit a passion for the mission of The CFA Society of New York, in particular the exchange of ideas and the development of relationships.

I would be honored to be a member of the Board and have the opportunity to contribute my investment experience, passion for investing and mentoring, to support the mission, represent the interests of and create value for the members of the CFA Society of New York.

Thank you for your consideration.