

## **Katie Kolchin, CFA – Ballot Statement**

Dear fellow CFA Society New York Members:

I am Katie Kolchin, and I am running for a seat on the Board of Directors for the CFA Society New York. I have been a member of our Society since 2007 and have been honored to be invited to speak on or introduce panels at many Society conferences. I previously served on the Nominations Committee for the Board of the Society. Here, I helped to write an updated manual and recruit candidates for the Board of Directors and the Nominations Committee. I believe my contributions benefited the group, and I would now like to increase my commitment to the Society by serving on the Board.

What is unique about me is that I have seen market trends through the lens of a variety of market participants, having worked at a large global investment bank, a market structure focused broker-dealer, a key bank regulator, a credit ratings agency, and now a trade association.

I am currently Managing Director, Head of Equity & Options Market Structure for SIFMA, where I analyze trends and structural shifts in markets. This includes assessing the intersection of traditional business models and emerging financial technologies, developing the roadmap for 24/7 trading, and analyzing changes in regulations and the potential impact on market structure. Each of these alone would have a significant impact on markets. Together, they have the potential to completely reset markets, and I am at the forefront of these issues, helping the industry navigate the changes.

In my prior role, I led the strategic initiatives and developed all report content for SIFMA's research department, including developing and authoring a new research brand, SIFMA Insights. In terms of other applicable experiences, I have been a fundamental analyst on both the equity and fixed income sides of markets (firms worked at include UBS Securities, Moody's, and Rosenblatt Securities). I have extensive global capital markets industry knowledge, recognized for keeping ahead of emerging and growing industry trends. I am well connected in the industry and have presented at multiple industry conferences, including as a panelist on the Securities and Exchange Commission's Roundtable on Trade-Through Prohibitions.

As such, I believe I would bring a different viewpoint to the Board. Should you vote for me, I will look to utilize my experience and network to: (1) further enhance the content for Society events and programming and (2) work to expand not just membership itself but also engagement among members.

I believe I have a lot to offer and am willing to put in the time required to make a valuable contribution. Thank you for your vote, and I hope to serve you on our Board in the new year!

Regards,

Katie Kolchin, CFA

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